

Enclosure (1) UPV Check-off Sheet

UNINSPECTED PASSENGER VESSEL (UPV) check-off sheet Vessel Name: _____

EXAMINER: _____ Date: _____ Vessel Representative: _____

**** indicates a suggestion – not a requirement**

1. NUMBERING

		SAT	UN-SAT	N/A
Documented vessels; vessel name and hailing port on stern, minimum 4" lettering	46 CFR 67.123			
Documented vessels; vessel name on port & starboard bow, minimum 4" lettering	46 CFR 67.123			
Documented vessels; Official number permanently on interior structural part of hull, minimum 3" block-type Arabic numerals.	46 CFR 67.121			
Not documented; state numbers on port & starboard bow, minimum 3" block letters, permanently marked, contrasting background	33 CFR 173.27 ABYC T-10.3			
Not documented; validation sticker displayed (will see on stern)	33 CFR 173.35			

Inspection notes: markings clearly visible, contrasting color to background

2. REGISTRATON / DOCUMENTATION

Documented vessels; ≥ 5 net tons (about 25 ft.), documented for coastwise trade	46 CFR 67.15			
Documented vessels; original documentation certificate on board, properly endorsed (e.g. coastwise trade, recreation) and valid	46 CFR 67.7/163			
Not documented; original state numbering certificate on board	33 CFR 173.21			

3. PERSONNEL - USCG LICENSE

Operator has proper USCG license (Operator UPV or Master license)	46 CFR 15.605 & .905			
License & TWIC is in operator's possession (usually in frame hanging on bulkhead)	46 CFR 26.20-1(a)			
Operator is licensed for waters navigated	46 CFR 15.401			
** Sufficient number of operators for two watches (if voyage over 12 hours)	46 USC 8104(b)			

Inspection notes: License must be applicable for vessel operation and tonnage. Original license on board. Match identification on license against ID (e.g. driver's license). Record license number. & DOB License should be signed.

4. HULL IDENTIFICATION NUMBER

(Included in block #1 NUMBERING for documented vessels)	33 CFR 181.23	ABYC T-10			
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5. NAVIGATION LIGHTS

Proper navigation lights	33 CFR 84	ABYC A-16.5.2			
All around anchor light	33 CFR 84	ABYC A-16.6.5			

6. ANCHOR & ANCHOR LINE

** Suitable for vessel with sufficient anchor line for area of operations	ABYC H-40.4				
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7. SOUND PRODUCING DEVICE

Whistle or horn					
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Inspection notes: Athletic whistle not acceptable for vessels ≥ 12 meters (39.4 ft).

8. RING LIFE BUOY

Throwable lifering required for vessels ≥ 26 ft at least 24 inch size.	46 CFR 25.25-5(d)				
Immediately available	46 CFR 25.25-9				
Buoyant 3/8" grab line	46 CFR 160.050				
CG Approval	46 CFR 160.050				
Type I reflective tape is NOT REQUIRED on the ring life buoy.	46 CFR 25.25-15				

Inspection notes: Can be orange or white. Must be orange if vessel goes into Canada. Lifeline not required. Grab line should be equally spaced in 4 quadrants. Test beackets and grab line for durability. Vessel <26ft and ≥ 16 ft are required to carry aboard at least one Type IV throwable device, e.g. buoyant cushion. For vessels ≥ 65 ft, 3 ring life buoys are required

9. BELL

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SAT UN-SAT N/A

Required in addition to whistle or horn on vessels ≥ 12 meters (39.4 ft) If not operating on Inland waters, only required on vessels ≥ 65 ft in RI	33 CFR 86.23 ABYC A-23.5 c			
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Inspection notes: Can use equipment having the same sound characteristics in lieu of bell (e.g. electronic device).

10. PERSONAL FLOTATION DEVICES

Type I CG approved PFD of suitable size for each person	46 CFR 25.25-5(c)(f)			
Type I reflective material on each PFD	46 CFR 25.25-15			
PFD device light (make sure batteries have current date mark)	46 CFR 25.25-1			
Readily accessible	46 CFR 25.25-9			
In serviceable condition	46 CFR 25.25-11			
USCG approval numbers visible	46 CFR 25.25-7			

Inspection notes: Type I – Life preserver – Throwable device/ring buoys / Type V – Special use/hybrid inflatable.

An approved Type V can be substituted for a Type I if it is worn when the vessel is underway and the wearer is not in an enclosed space.

11. FIRE EXTINGUISHERS

USCG approved for portable extinguishers	46 CFR 25.30-5(b) ABYC A-4.6.1			
USCG approved or CERTIFIED for marine use, UL listed for fixed fire extinguishing systems	ABYC A-4.7.1			
Manufacturer name plate attached giving specifications	46 CFR 25.30-10(d)			
Minimum number of portable extinguishers With no fixed system in engine spaces: ≥ 26 ft, less than 40 ft . . . at least 2 B-I portable extinguishers ≥ 40 ft, less than 65 ft . . . at least 3 B-I portable extinguishers	46 CFR 25.30-20(a)(1)&(b)(1) TABLES ABYC A-4.6.3			
Pressure gauge or indicating device	46 CFR 25.30-10(h)			
Proper bracket for extinguisher	46 CFR 162.028-3(g)			

Inspection notes: If mfg date before 1/1/65 and not fitted with gauge, must be weighed every 6 months, frangible disk intact and not damaged or leaking (46 CFR 25.30-10(g))

12. VISUAL DISTRESS SIGNALS

Readily Accessible	33 CFR 175.120			
Date Current Record date:	33 CFR 175.125			
CG Approval	33 CFR 175.128			

Inspection notes: 3 day and 3 night or 3 day/night combo. ** Should be in waterproof case.

13. CG CAPACITY PLATE

Visible plate for monohull power vessels <20ft	33 CFR 183.23	ABYC S-7.5		
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Inspection notes: This plate must be marked with the maximum number of persons capacity in whole numbers of persons and in pounds, the maximum weight capacity in pounds, and the maximum horsepower for that boat or the words "This Boat Not Rated for Propulsion by Motor".

14. CERTIFICATE OF COMPLIANCE LABEL

Required for monohull power vessels <20ft	33 CFR 181.7			
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Inspection notes: This label is affixed by the boat's manufacturer and should contain the following statement: "This Boat Complies with U.S. Coast Guard Safety Standards in Effect on the Date of Certification".

15. VENTILATION – Gasoline Engine

Gasoline fuel tanks must be properly ventilated	46 CFR 25.40	ABYC H-2.5		
Blower warning label	33 CFR 183.610(f)	ABYC H-2.6.3.2.9		
Exhaust blower duct in lower 1/3 of compartment, above bilge water	33 CFR 183.610(d)	ABYC H-2.6.3.2.7		

Inspection notes: Each fuel tank and engine compartment vented with 2 vents fitted with cowls; 1 exhaust, 1 intake. Fuel lines should be marine approved, not plastic hoses. Check for holes, leaks. Check direction of exhaust discharge. See 33 CFR 183.520 and 33 CFR 175.201 for venting fuel tanks. Ensure flame screens on vents are properly fitted and not painted over or gummed over. Vent screens required.

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16. BACKFIRE FLAME ARRESTER – Gasoline Engine

SAT UN-SAT N/A

For gasoline engines; properly installed and cleaned.	46 CFR 25.35-1			
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Inspection notes: CG no longer approves backfire flame arrestors, however, CG approval numbers 162.015 or 162.042 can be continued in use if in good condition and serviceable.

17. FUEL SYSTEM - Gasoline Engine

** Fuel tank labeled	33 CFR 183.514	ABYC H-24.18.3			
Fuel pump not leaking	33 CFR 183.524	ABYC H-24.14.4			
Fuel stop valve have manual means to operate	33 CFR 183.528	ABYC H-24.8.4			
Fuel lines made of metal or "USCG Type A1" hose	33 CFR 183.528				
Fuel tank grounded if gasoline tanks	33 CFR 183.572	ABYC H-24.15			

Inspection notes: Fuel lines should be marine approved, not plastic hoses (ABYC H-24.14.2). Check for holes, leaks. Metal fuel hoses can be made of copper, nickel copper or copper nickel.

18. ALTERNATE PROPULSION

** Vessels under 16ft require alternate propulsion (oar, paddle)				
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19. ELECTRICAL SYSTEMS

** Approved marine alternators					
Pos Battery terminals are covered	33 CFR 183.420 & 445	ABYC E-10.7.7			

Inspection notes: Check shore power connection for evidence of loose or defective connection. Check wiring and insulation for frayed wires, missing insulation, frayed insulation, loose wires, etc. Battery should be trayed/protected (Secured/constrained)

20. DEWATERING DEVICE

** Ensure pump(s) work				
Ensure manual bailer aboard & Bilge Slop Retention				

21. GALLEY / HEATING SYSTEMS

Approved cooking, heating and lighting systems	46 CFR 25.45	ABYC A-3, A-7			
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22. STATE REQUIREMENTS

Meets additional State Requirements.				
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23. MARINE SANITATION DEVICE

Does vessel have certified marine sanitation device? (Will typically see Type III, holding tank)	33 CFR 159.7			
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Inspection notes: Ensure overboard shut off valve is closed and locked shut.

24. MARPOL TRASH PLACARD

Required on vessels >26 ft - pollution placard posted if over 39.4 ft - written Trash disposal Plan	33 CFR 151.59(d)			
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25. POLLUTION PLACARD

Prohibited discharge warning placard (5"X8"); posted in machinery space or at bilge pump control station (if vessel is over 26ft)	33 CFR 155.450			
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Inspection notes: Is vessel operator practicing proper retention of bilge slops? Are oily mixtures retained on board and then discharged at reception facility?

26. NAVIGATION RULES

Vessels ≥ 12 meters (39.4 ft) must have copy of Inland Nav Rules	33 CFR 88.05			
Vessels ≥ 20 meters (65 ft) required to have bridge to bridge comms, ch 13	33 CFR 26.03			

27. Communications

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SAT UN-SAT N/A

VHF-FM radio: With ch 22A (UPVs of 20 meters or more)	33 CFR 26.03(a)(1)			
FCC ship/station license: includes all TX gear, e.g. EPIRB (UPVs of 20 meters or more)	47 CFR 80.13 & .25			
Radio Operator's Permit: Valid (UPVs of 20 meters or more)	47 CFR 80.163			

28. EPIRB

** An EPIRB is not required for UPV's. <i>if aboard - must be registered</i>	46 CFR 25.26-10			
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29. DRUG TESTING PROGRAM

Vessel or company participating in random testing program Name & Phone of DER noted	46 CFR 16.230(b)			
Pre-Employment drug tests conducted on all crewmembers	46 CFR 16.10			
Alcohol testing - requirement 2 hr from SMI (kits aboard)	46 CFR 4.06			
Chemical Testing history for all crew hired after 8/1/01	49 CFR 40.25			
Chemical test records kept on file for 2 or 5 years or duration of crewmbr	46 CFR 16.260			
MIS Report: Copy on file, sent to COMDT for previous year	46 CFR 16.500			
Aware of regulation against operating a vessel while intoxicated	33 CFR 95			

Inspection notes: If not enrolled in a program, seek additional guidance from Prevention Department.

30. EMERGENCY PROCEDURES

Emergency check-off list posted in conspicuous location(s)	46 CFR 26.03(a) & (b)			
Passenger counts taken	46 USC 3502			
Aware of proper accident and casualty reporting & SMI requirements	33 CFR 173.59 & 46 CFR 4.05			

31. EMERGENCY INSTRUCTIONS

Safety orientation given (public announcements/instruction placards)	46 CFR 26.031(a)			
Prior to getting underway, the operator shall ensure that suitable public announcements, instructive placards or both are provided in a manner which affords all passengers the opportunity to become acquainted with: Stowage locations of life preservers./ Proper method of donning and adjusting life preservers. / The type and location of all lifesaving devices carried aboard. / The location and contents of the emergency check-off list.				

32. CHARTS AND NAUTICAL PUBLICATIONS

Charts of operating area, Coast Pilot, Light List, Tide Tables, Current Tables, latest LNM	46 CFR 26.03-4			
Copy of Nav. Rules of the Road aboard - if vessel is > 39.4 ft.	33 CFR 88.05			

33. OVERALL VESSEL CONDITION

General condition of vessel Check for any visible hull damage NO Oil or Debris in Bilge, Hand Rails falling off, ** Check engine hoses for leaks, Oil in Bilge, Hand Rails falling off	46 CFR 28.215			
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Inspection notes: Have operator operate sea valves and check engine mount bolts, broken rubber mounts.

Decal Awarded: YES Decal # _____ NO

Is this a follow-up Exam? NO

YES - Date of previous Exam: _____

Additional Comments